

Interview Questions FOR YOUR FINANCIAL ADVISOR



When you're planning to use the services of a financial advisor, you want to be sure you're working with someone whose values align with yours—and who will provide you with the best service possible. **Here are some questions you should ask any advisor you could potentially be entrusting with managing your wealth.**

Interview Questions

TO ASK YOUR FUTURE FINANCIAL ADVISOR

☐ WHAT ARE YOUR QUALIFICATIONS?

Answer -

☐ HOW DO YOU GET PAID FOR YOUR SERVICES? (What costs should I expect to incur regularly?)

Answer -

☐ ARE YOU HELD TO FIDUCIARY STANDARDS?

Answer -

☐ WHICH AREAS OF WEALTH MANAGEMENT WOULD YOU CONSIDER YOUR SPECIALTY?

Answer -

☐ ARE THERE ANY AREAS OF WEALTH MANAGEMENT YOU DON'T SPECIALIZE IN?

Answer -

☐ WHICH INVESTMENT PHILOSOPHIES WILL YOU USE TO HELP ME GROW MY PORTFOLIO?

Answer -

☐ HOW OFTEN WOULD WE BE IN TOUCH?

Answer -

BROUGHT
TO YOU
BY:

Name: _____ Phone: _____

E-mail: _____